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John S. Nagy, Reg. No. 30,664

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/754,174
Applicant : Lilip Lau, William Hartigan, Anuja Patel
Filed : January 9, 2004
Art Unit : 3736
Examiner : Nikita R. Veniaminov
Title : DEVICE FOR TREATING HEART FAILURE

Docket No.: : PARCR 66327
Customer No. : 24201

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The documents listed on the enclosed Forms PTO/SB/08A have come to the attention of Applicants and are submitted to the Office under 37 C.F.R. § 1.97(c) (3) and § 1.98(d). A copy of each of the referenced articles and of each of the foreign references is enclosed. This Information Disclosure Statement is being submitted to correct the publication dates of all the U.S. Patent Documents by including the four-digit year, to include the name of the inventor(s) for each of the listed U.S. applications, and to submit additional art which has come to the attention of Applicants. It is respectfully requested that the Information Disclosure Statement references now be considered.

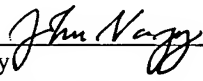
This application is a continuation of U.S. Serial No. 10/242,016 filed September 10, 2002 now U.S. Patent No. 6,723,041. The foregoing application is incorporated herein by reference. Priority is claimed to this application.

The petition fee set forth in 37 C.F.R. § 1.17(p) is enclosed. Please charge any deficiency in fees or credit any overpayment to our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:


John S. Nagy

Registration No. 30,664

JSN:jeb
Enclosures

Howard Hughes Center
6060 Center Drive, Tenth Floor
Los Angeles, CA 90045
Telephone: (310) 824-5555
Facsimile: (310) 824-9696
Customer No. 24201
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Doc

PTO/SB/21 (09-04)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/754,174

Filing Date

January 9, 2004

First Named Inventor

Lilip Lau

Art Unit

3736

Examiner Name

Nikita R. Veniaminov

Attorney Docket Number

PARCR 66327

ENCLOSURES (Check all that apply)

- ☒ Fee Transmittal Form
☒ Fee Attached
☐ Amendment / Reply
☐ After Final
☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☒ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☐ Response to Missing Parts/ Incomplete Application
☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
☐ Licensing-related Papers
☐ Petition
☐ Petition to Convert to a Provisional Application
☐ Power of Attorney, Revocation Change of Correspondence Address
☐ Terminal Disclaimer
☐ Request for Refund
☐ CD, Number of CD(s) _____
☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
☐ Appeal Communication to Board of Appeals and Interferences
☐ Appeal Communication to TC (Appeal Notice, Brief, Reply)
☐ Proprietary Information
☐ Status Letter
☒ Other Enclosure(s) (please identify below):
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17 References**

Remarks

24201

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

FULWIDER PATTON LEE & UTECHT, LLP.

Signature

Printed name

Date

May 9, 2005

Reg. No.

30,664

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May 9, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 2636) on 12/09/2004.

**FEE TRANSMITTAL
for FY 2005**☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$180.00

Complete if Known

| | |
|----------------------|---------------------|
| Application Number | 10/754,174 |
| Filing Date | January 9, 2004 |
| First Named Inventor | Lilip Lau |
| Examiner Name | Nikita R Veniaminov |
| Art Unit | 3736 |
| Attorney Docket No. | PARCR 66327 |

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☐ Deposit Deposit Account Number: 06-2425 Deposit Account Name: FULWIDER PATTON

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or any underpayment of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid(\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|---------------|
| | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

2. EXCESS CLAIM FEES

| Fee Description | Fee (\$) | Small Entity Fee (\$) |
|------------------------------------------------------------------------|----------|-----------------------|
| Each claim over 20 (including Reissues) | 50 | 25 |
| Each independent claim over 3 (including Reissues) | 200 | 100 |
| Multiple dependent claims | 360 | 180 |
| Total Claims | Extra | Fee (\$) |
| _____ - 20 or HP = _____ x _____ | | \$25.00 |
| HP = highest number of total claims paid for, if greater than 20. | | \$0.00 |
| Indep. Claims | Extra | Fee (\$) |
| _____ - 3 or HP = _____ x _____ | | \$43.00 |
| HP = highest number of independent claims paid for, if greater than 3. | | \$0.00 |
| Total Claims | Extra | Fee (\$) |
| _____ - 20 or HP = _____ x _____ | | \$25.00 |
| HP = highest number of total claims paid for, if greater than 20. | | \$0.00 |
| Indep. Claims | Extra | Fee (\$) |
| _____ - 3 or HP = _____ x _____ | | \$43.00 |
| HP = highest number of independent claims paid for, if greater than 3. | | \$0.00 |

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
|---------------------|------------------|--------------------------------------------------|------------|---------------|
| _____ - 100 = _____ | _____ / 50 _____ | _____ (round up to a whole) | x \$125.00 | = \$0.00 |


4. OTHER FEE(S)

Non-English specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Supplemental Information Disclosure Statement

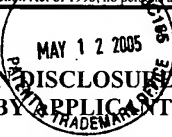
\$180.00

SUBMITTED BY

| | | | | | |
|-------------------|-------------------------------------------------------------------------------------|-----------------------------------|--------|-----------|----------------|
| Signature |  | Registration No. (Attorney/Agent) | 30,664 | Telephone | (310) 824-5555 |
| Name (Print/Type) | JOHN S. NAGY | | | Date | May 9, 2005 |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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|-------------------------------------------------------------------------------------------|--|----------------------------------------------------------------------------------|--|--------------------------|--|
| Substitute for form 1449A/PTO | |  | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | Application Number | | 10/754,174 | |
| | | Filing Date | | January 9, 2004 | |
| | | First Named Inventor | | Lilip Lau | |
| | | Art Unit | | 3736 | |
| | | Examiner Name | | Nikita R. Veniaminov | |
| Sheet 1 of 10 | | Attorney Docket Number | | PARCR 66327 | |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|----------------------|-----------------|--------------------------------------|--------------------------------|-------------------------------------------------------|---------------------------------------------------------------------------------|
| Examiner Initials* | Cite No ¹ | Document Number | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number | Kind Code ² (if known) | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|----------------------|-------------------------|---------------------|---------------------------------|--------------------------------|----------------------------------------------------|--------------------------------------------------------------------------------|----------------|
| Examiner Initials* | Cite No ¹ | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Office ³ | Number ⁴ | Kind ⁵ (if known) | | | | |
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|--------------------|-----------------|
| Examiner Signature | Date Considered |
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| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Complete if Known | | | |
| Sheet 2 | | of 12 | | Application Number | | 10/754,174 | |
| | | | | Filing Date | | January 9, 2004 | |
| | | | | First Named Inventor | | Lilip Lau | |
| | | | | Art Unit | | 3762 | |
| | | | | Examiner Name | | Unassigned | |
| | | | | Attorney Docket Number | | PARCR 66327 | |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|-------------------------|-----------------|--------------------------------------|--------------------------------|-------------------------------------------------------|---------------------------------------------------------------------------------|
| Examiner Initials* | Cite No ¹ | Document Number | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number | Kind Code ² (if known) | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|-------------------------|-------------------------|---------------------|---------------------------------|--------------------------------|----------------------------------------------------|-----------------------------------------------------------------------------------|----------------|
| Examiner Initials* | Cite No ¹ | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Office ³ | Number ⁴ | Kind ⁵ (if known) | | | | |
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| Examiner Signature | | Date Considered | |
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| | | | | Examiner Name | Nikita R. Veniaminov |
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| | | Number | Kind Code ² (if known) | | | |
| | | 5,098,369 | | 03/24/1992 | Heilman et al. | |
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| | | 5,197,978 | | 03/30/1993 | Hess | |
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|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
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| | | | Examiner Name | Nikita R. Veniaminov |
| | | | Attorney Docket Number | PARCR 66327 |
| Sheet | 4 | of | 10 | |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|-------------------------|-----------------|--------------------------------------|--------------------------------|-------------------------------------------------------|---------------------------------------------------------------------------------|
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| | | | | First Named Inventor | Lilip Lau |
| | | | | Art Unit | 3736 |
| | | | | Examiner Name | Nikita R. Veniaminov |
| Sheet | 5 | of | 10 | Attorney Docket Number | PARCR 66327 |

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| Sheet | 6 | of | 10 |
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| | | Art Unit | 3736 |
| | | Examiner Name | Nikita R. Veniaminov |
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| Sheet | 7 | of | 10 |

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